

FILED
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 U.S. DISTRICT COURT E.D.N.Y.
 ★ JUN 15 2021
 LONG ISLAND OFFICE

**UNITED STATES DISTRICT COURT
 EASTERN DISTRICT OF NEW YORK**

MISCELLANEOUS CASE INFORMATION SHEET

PLAINTIFF:

Securities and Exchange Commission
 New York Regional Office
 200 Vesey Street, Room 400
 New York, NY 10281-1022

DEFENDANT:

Steven Seagal
 c/o Alan Tivoli
 500 S Sepulveda Blvd #303
 Los Angeles, CA 90049
 c/o ativoli@otpmgt.com

IN THE MATTER OF:

CAUSE OF ACTION:

Order to Show Cause to convert a Commission Order to a Judgment.

RELIEF SOUGHT:

The Commission seeks a Judgment pursuant to Section 20(c) of the Securities Act of 1933.

ATTORNEY FOR PLAINTIFF:

Richard R. Best
 United States Securities and Exchange Commission
 New York Regional Office
 200 Vesey Street, Room 400
 New York, NY 10281-1022

ATTORNEY FOR DEFENDANT:

Pro se.

I am currently a member in good standing of the bar of this Court:



YES



NO

Signature of Attorney of Record: RICHARD BEST Digitally signed by RICHARD BEST
 Date: 2021.06.14 08:47:57 -04'00' Date: _____